

T-323 P.001 F-654

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# FACSIMILE COVER SHEET

**Deliver to:** Kelvin Y. Lin, USPTO

Art Group: 2142

Facsimile No.: (571) 273-8300

Date: February 7, 2007

From: Joseph Lutz, Reg. No. 43,765

Our Docket No.: 80398P431

Number of pages 16 including this sheet.

Application No.: 09/932,038

Filing Date: 8/16/2001

Docket Due Date(s): 2/7/2007

**Enclosed are the following documents:**

- ☒ Amendment: After Final ( 12 pgs)  
☐ Appeal Brief (      pgs)  
☐ Application: \_\_\_\_\_  
(      pgs) w/cover & abstract  
☐ Assignment & Cover Sheet (      pgs)  
☒ Certificate of Facsimile  
☐ Continued Prosecution Application (CPA)  
☐ Declaration & POA (      pgs)  
☐ Drawings:      sheets,      figures  
☐ Extension of Time: \_\_\_\_\_  
☒ Fee Transmittal (in duplicate)  
☐ IDS & PTO/SB/08 (      pgs)  
☐ Other \_\_\_\_\_
- ☐ Issue Fee Transmittal  
☐ Notice of Appeal  
☐ Petition for: \_\_\_\_\_  
☐ Request for Continued Examination (RCE)  
☐ Reply Brief (      pgs)  
☐ Request & Certification Under 35 USC 122(b)(2)(B)(i)  
☐ Request to Rescind Previous Nonpublication Request  
☐ Response to Notice of Missing Parts & Formalities Letter  
☐ Response to Written Opinion (      pgs)  
☐ Terminal Disclaimer  
☐ Transmittal of Publication Fee Due  
☒ Transmittal Letter

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Annie McNally

**2/7/2007**

**Date**

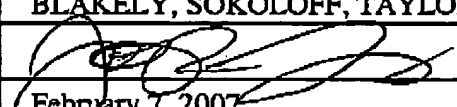
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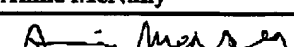
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<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)		Application No.	09/932,038
		Filing Date	August 16, 2001
		First Named Inventor	Eric D. Edwards
		Art Unit	2142
		Examiner Name	Kelvin Y. Lin
Total Number of Pages in This Submission	15	Attorney Docket Number	80398P431

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ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form  <input type="checkbox"/> Fee Attached  <input checked="" type="checkbox"/> Amendment / Reply  <input checked="" type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s)  <input type="checkbox"/> Extension of Time Request  <input type="checkbox"/> Express Abandonment Request  <input type="checkbox"/> Information Disclosure Statement  <input type="checkbox"/> PTO/SB/08  <input type="checkbox"/> Certified Copy of Priority Document(s)  <input type="checkbox"/> Response to Missing Parts/Incomplete Application  <input type="checkbox"/> Basic Filing Fee <input type="checkbox"/> Declaration/POA  <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s)  <input type="checkbox"/> Licensing-related Papers  <input type="checkbox"/> Petition  <input type="checkbox"/> Petition to Convert a Provisional Application  <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address  <input type="checkbox"/> Terminal Disclaimer  <input type="checkbox"/> Request for Refund  <input type="checkbox"/> CD, Number of CD(s) <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC  <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences  <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)  <input type="checkbox"/> Proprietary Information  <input type="checkbox"/> Status Letter  <input type="checkbox"/> Other Enclosure(s) (please identify below): <div style="border: 1px solid black; height: 60px; width: 100%;"></div>
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Joseph Lutz, Reg. No. 43,765 <b>BLAKELY, SOKOLOFF, TAYLOR &amp; ZAFMAN LLP</b>
Signature	
Date	February 7, 2007

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Signature		Date	February 7, 2007

Based on PTO/SB/21 (09-04) as modified by Blakely, Sokoloff, Taylor & Zafman, dated 10/12/2006.  
SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

**FEE TRANSMITTAL  
for FY 2006**

Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27.**TOTAL AMOUNT OF PAYMENT** (\$) 0.00

Complete if Known

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Application Number 09/932,038

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Filing Date August 16, 2001

First Named Inventor Eric D. Edwards

FEB 07 2007

Examiner Name Kelvin Y. Lin

Art Unit 2142

Attorney Docket No. 80398P431

**METHOD OF PAYMENT (check all that apply)**☐ Check ☐ Credit card ☐ Money Order ☒ None ☐ Other (please identify):☒ Deposit Account Deposit Account Number: 02-2666 Deposit Account Name: Blakely, Sokoloff, Taylor & Zafman LLP

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☐ Charge fee(s) indicated below☐ Charge fee(s) indicated below, except for the filing fee☒ Charge any additional fee(s) or underpayment of fee(s) under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20. ☒ Credit any overpayments**FEE CALCULATION****1. EXTRA CLAIM FEES**

Total Claims	Extra Claims	Fee from below	Fee Paid
43	45*	0	\$0.00
6	6*	0	\$0.00

Multiple Dependent

Large Entity	Small Entity	Fee Description
Fee Code (\$)	Fee Code (\$)	
1202 50	2202 25	Claims in excess of 20
1201 200	2201 100	Independent claims in excess of 3
1203 380	2203 180	Multiple Dependent claim, if not paid
1204 790	2204 395	**Release Independent claims over original patent
1205 300	2205 150	**Release claims in excess of 20 and over original patent

SUBTOTAL (1)

(\$) 0.00

\*\*or number previously paid, if greater. For Releases, see below

**2. ADDITIONAL FEES**

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description
1051 130	2051 65	Surcharge - late filing fee or oath
1052 60	2052 25	Surcharge - late provisional filing fee or cover sheet
2053 130	2053 130	Non-English specification
1251 120	2251 60	Extension for reply within first month
1252 450	2252 225	Extension for reply within second month
1253 1,020	2253 510	Extension for reply within third month
1254 1,590	2254 795	Extension for reply within fourth month
1255 2,160	2255 1,080	Extension for reply within fifth month
1401 500	2401 250	Notice of Appeal
1402 500	2402 250	Filing a brief in support of an appeal
1403 1,000	2403 500	Request for oral hearing
1451 1,510	2451 1,510	Petition to institute a public use proceeding
1480 130	2480 130	Petitions to the Commissioner
1807 60	1807 60	Processing fee under 37 CFR 1.17(a)
1808 180	1808 180	Submission of Information Disclosure Sheet
1809 790	1809 395	Filing a submission after final rejection (37 CFR § 1.129(a))
1810 790	2810 395	For each additional invention to be examined (37 CFR § 1.129(b))

Other fee (specify)

SUBTOTAL (2)

(\$)

Fee Paid

**SUBMITTED BY**

Name (Print/Type)

Joseph Lutz

Registration No.  
(Attorney/Agent)

43,765

Telephone

(310) 207-3800

Signature

Date

02/07/07

Based on PTO/SB/17 (12-04) as modified by Blakely, Sokoloff, Taylor & Zafman (w/12/15/2004).  
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<b>TOTAL AMOUNT OF PAYMENT</b>	<b>(\$)</b>	<b>0.00</b>
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Application Number	09/932,038
Filing Date	August 16, 2001
First Named Inventor	Eric D. Edwards
Examiner Name	Kelvin Y. Lin
Art Unit	2142
Attorney Docket No.	80398P431

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(\$)

Date	02/07/07
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PAGE 4/16 \* RCVD AT 2/7/2007 9:15:21 PM [Eastern Standard Time] \* SVR:USPTO-EFXRF-1/21 \* DNIS:2738300 \* CSID:3108205988 \* DURATION (mm:ss):04:52

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Attorney Docket No. 80398P431

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Patent Application of:

**Eric D. Edwards et al.**

Application No. 09/932,038

Filed: August 16, 2001

For: FILE ARCHIVE AND MEDIA  
TRANSFER SYSTEM WITH USER  
NOTIFICATION

Examiner: Lin, Kelvin Y.

Art Unit: 2142

AMENDMENT AND RESPONSE TO FINAL OFFICE ACTION

Mail Stop AF  
Commissioner for Patents  
P. O. 1450  
Alexandria, VA 22313-1450

Dear Commissioner:

In response to the Final Office Action having a mailing date of December 7, 2006, please amend the above-identified patent application as follows.

80398P431

-1-

09/932,038